

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Ralph Lance Carter, <i>et al.</i>
Application No. 10/779,959	Filing Date: February 17, 2004
Patent No. 7,057,733	Issue Date: June 6, 2006
Title of Application:	Scanning IR Microscope
Confirmation No. 9116	Art Unit: 2877
Examiner	Michael A. Lyons

Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

**Request Under 37 CFR 3.81(b) to Correct Assignment Data in Patent**

Dear Sir:

When the issue fee was paid on April 18, 2006 for this patent, the name of the assignee on Form PTOL-85 was incorrectly stated as "Singapore Pte. Ltd." Accordingly, the applicant respectfully requests that the patent be corrected to state the name of the assignee as "PerkinElmer International, C.V."

**Assignment** The assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent. The assignment was recorded on July 12, 2004 on Reel 015549 and Frame 0018, copy of which is attached (Exhibit A).

**Certificate of Correction** A Request for Certificate of Correction under 37 CFR 1.323 along with the fee of \$100.00 as set forth in 37 CFR 1.20(a) was submitted to the United States Patent Office on November 10, 2006, copy of which is attached (Exhibit B).

**Processing Fee** The processing fee of \$130.00 as set forth in 37 CFR 1.17(i) is due with this request. The fee is being paid via the EFS system.

The Commissioner is hereby authorized to charge any additional fees by this paper to Account No. 19-4516.

Respectfully submitted,

December 22, 2006

  
Wesley W. Whitmyer, Jr., Registration No. 33,558  
David Chen, Registration No. 46,613  
Attorneys for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

EXHIBIT A



RECEIVED  
St. Onge Steward Johnston & Reens

JAN 28 2005

UNITED STATES PATENT AND TRADEMARK OFFICE 31412 - P0067A

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILE  
DKT

JANUARY 11, 2005

PTAS

ST. ONGE STEWARD JOHNSTON & REENS LLC  
WESLEY W. WHITMYER, JR.  
986 BEDFORD STREET  
STAMFORD, CT 06905-5619



\*102790465A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/12/2004

REEL/FRAME: 015549/0018

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CARTER, RALPH LANCE

DOC DATE: 04/06/2004

ASSIGNOR:  
HOULT, ROBERT ALAN

DOC DATE: 04/06/2004

ASSIGNEE:  
PERKINELMER INTERNATIONAL, C.V.  
HUNENBERG 106  
BOSCH 106  
6631 HUNENBERG, SWITZERLAND

SERIAL NUMBER: 10779959

FILING DATE: 02/17/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: SCANNING IR MICROSCOPE

015549/0018 PAGE 2

DIANE RUSSELE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

07-14-2004



PATENT

03142-P0067A WWW

7/12/04 IN THE UNITED STATES 102790465 ARK OFFICE

Applicants	Ralph Lance Carter, et al.
Serial No. Serial No. 10/779,959	Filing Date: February 17, 2004
Title of Application: <del>Scanning IR Microscope</del>	Scanning IR Microscope
Confirmation No. 9116	Group Art Unit: 2877
Examiner	

Mail Stop Assignment Recordation Services  
 Director, U.S. Patent and Trademark Office  
 Post Office Box 1450  
 Alexandria, VA 22313-1450

OFFICE OF PAPERS RECEIVED  
 JULY 12 2004  
 FINANCE SECTION

Patent Assignment Recordation Form Cover Sheet

Dear Sir:

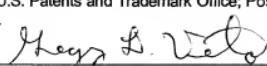
Please record the attached original document.

1. Name of Conveying Parties. Ralph Lance Carter and Robert Alan Hout.
2. Name and Address of Receiving Party. PerkinElmer International, C.V.; Hunenberg 106, Bosch 106, 6631 Hunenberg, Switzerland.
3. Nature of Conveyance: Assignment. Execution Date: April 6, 2004.
4. Name and Address of Party to Whom Correspondence Concerning Document should be mailed.

Wesley W. Whitmyer, Jr., Registration No. 33,558  
 Attorney for Applicants  
 ST.ONGE STEWARD JOHNSTON & REENS LLC  
 986 Bedford Street  
 Stamford, CT 06905-5619  
 203 324-6155

**Mailing Certificate:** I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *First Class Mail* in an envelope addressed to: Mail Stop Assignment Recordation Services; U.S. Patents and Trademark Office; Post Office Box 1450; Alexandria, VA 22313-1450.

3 9v  
 July 1, 2004

  
 Gregory D. Venuto

Page 2  
Assignment  
Serial No. 10/779,959

5. Application Number: Application Serial No. 10/779,959 filed February 17, 2004.
6. Total Number of Applications Involved: 1.
7. Total Fee (37 CFR 3.41): \$40.00 due. A credit card payment for this amount is enclosed.
8. Authorization to Charge Deposit Account: The Commissioner is hereby authorized to charge any additional fees due by this paper and during the entire pendency of this Application to Account No. 19-4516.
9. Statement and Signature: Total number of pages including cover sheet, attachments and document are 5. To the best of my knowledge and belief, the foregoing information is true and correct and any copy submitted herewith is a true copy of the original document.

Respectfully submitted,



---

Wesley W. Whitmyer, Jr., Registration No. 33,558  
Attorneys for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

Assignment

WHEREAS, We, the undersigned inventors, have made certain inventions or discoveries (or both) as set forth in an application for Letters Patent of the United States of America, entitled **Scanning IR Microscope**, bearing Patent Application Serial No. 10/779,959 and filed on February 17, 2004;

WHEREAS, PerkinElmer International, C.V., a corporation organized and existing under the laws of Switzerland, and having an office and place of business at Hunenberg 106, Bosch 106, 6631 Hunenberg, Switzerland together with its successors and assigns is hereinafter called "Assignee", and is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

NOW THEREFORE, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in any and all countries, including all divisional, renewal, substitute, continuation and convention applications, as well as subsequent provisional applications filed on other embodiments of the same subject matter based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;
2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patents to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;
5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that said inventions and discoveries, said patent applications and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives and assigns if this assignment had not been made; and par-

Page 2  
Assignment  
Serial No. 10/779,959

ticularly to execute and deliver to Assignee all lawful application documents, including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns, which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN WITNESS WHEREOF, we, Ralph Lance Carter and Robert Alan Hoult, have hereunto set our hands and affixed our seals.

6 April 04  
Date

  
Ralph Lance Carter  
Corner Lodge, Chinnor Road  
Nr Thame  
Oxon OX9 3QY, Great Britain

Declaration of Witness

I declare that I was personally present and did see Ralph Lance Carter, who is personally known to me to be the person named in this assignment, duly sign and execute the same.

Declared at Seer Green, UK, on 6th April '04

Naomi S. Crooks  
Signature of Witness

NAOMI S. CROOKS  
Name of Witness

6<sup>th</sup> April 2004  
Date

  
Robert Alan Hoult  
Stable Cottage, Finch Lane  
Knotty Green, Beaconsfield  
Bucks HP9 2TL, Great Britain

Page 3  
Assignment  
Serial No. 10/779,959

**Declaration of Witness**

I declare that I was personally present and did see Robert Alan Hoult, who is personally known to me to be the person named in this assignment, duly sign and execute the same.

Declared at Seer Green, UK, on 6th April 04

Naomi J. Crook  
Signature of Witness

NAOMI J. CROOKS  
Name of Witness

EXHIBIT B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Ralph Lance Carter, et al.
Application No. 10/779,959	Filing Date: February 17, 2004
Title of Application:	Scanning IR Microscope
Confirmation No. 9116	Art Unit: 2877
Examiner	Michael A. Lyons

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Decision and Certificate of Correction  
Branch of the Patent Issue Division

*Request for Certificate of Correction*  
*of Patent for Applicants' Mistake (37 CFR 1.323)*

It is noted that an error appears in this patent of a clerical nature or character, as more fully described below. It occurred in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. A certificate of correction is requested.

Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.

NOTE: Form PTO-1050, using the column and line number in the printed patent, should be used exclusively regardless of the length or complexity of the subject matter. MPEP §1485.

NOTE: The patent grant should be retained by the patentee. The PTO does not attach the certificate of correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. MPEP §1485.

**Certificate of Mailing:** I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents; Post Office Box 1450; Alexandria, VA 22313-1450.

November 10, 2006

  
Dahlia Crimi

Page two  
Patent No. 7,057,733  
Request for Certificate of Correction

The exact page and line number where the errors occur in the application file are:

NOTE: This information should be identified in this request, however, on Form PTO-1050, only the column and line number in the printed patent should be used. MPEP §1485.

Page 1, (73) Assignee:

Credit card form PTO-2038 in the amount of \$100.00, as required by 37 CFR § 1.20(a), is enclosed in payment of this fee. If there is any fee deficiency, please charge Account No. 19-4516.

Please send the Certificate to:

Name: Wesley W. Whitmyer, Jr., Esquire  
Address: ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, Connecticut 06905

Respectfully submitted,

November 10, 2006

  
Wesley W. Whitmyer, Jr., Registration No. 33,558  
David Chen, Registration No. 46,613  
Attorneys for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

PATENT NO. : 7,057,733  
DATED : June 6, 2006  
INVENTOR : *Ralph Lance Carter et al.*

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Page 1

(73) Assignee: PerkinElmer International, C.V., Switzerland

Mailing Address Of Sender:

Patent No.: 7,057,733

**Certificate of Correction (PTO Form 1050) - Amended**

Wesley W. Whitmyer, Jr., Esquire  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, Connecticut 06905-5619

UNITED STATES PATENT AND TRADEMARK OFFICE

**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,057,733

DATED : June 6, 2006

INVENTOR : *Ralph Lance Carter et al.*

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Page 1

(73) Assignee: PerkinElmer International, C.V., Switzerland

---

Mailing Address Of Sender:

Patent No.: 7,057,733

**Certificate of Correction (PTO Form 1050) - Amended**

Wesley W. Whitmyer, Jr., Esquire  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, Connecticut 06905-5619

U. S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231



A request for a Certificate of Correction has  
been received for U.S. Patent 7057733

RECEIVED WESLEY W. WHITMYER, JUNIOR  
St. Onge Steward Johnston & Reeds SAINT ONGE STEWARD JOHNSTON ET AL.  
111 986 BEDFORD STREET  
DEC 04 2006 STAMFORD, CONNECTICUT 06905-5619

FILE 03142-90067A  
DKT        and